



# Enrollment Form

## NC 401(k) PLAN

### Instructions

Please print using blue or black ink. Please keep a copy for your records and send completed form to the following address or fax it to **1-866-439-8602**. If faxing, please keep original for your records. Please ensure the 'Your Authorization' section is included when you return the form.

**NCPlans Processing Center**  
PO Box 5340  
Scranton, PA 18505

**Questions?**  
Call 1-866-627-5267  
for assistance.

### About You

Plan number	<b>Who is your employer?</b>	<b>What Department do you work in?</b>
<u>0   0   2   0   0   3  </u>	_____	_____
	(Please print entire employer name)	(Please print entire department name)
Have you recently changed employers? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Previous Employer Name: _____ Current Employer Name: _____		
Are you a sworn Law Enforcement Officer? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Social Security number	Daytime telephone number	
_____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____	
	<small>area code</small>	
First name	MI	Last name
_____ _____ _____ _____ _____ _____	_____	_____ _____ _____ _____ _____ _____
Address		
_____ _____ _____ _____ _____ _____ _____ _____ _____ _____		
City	State	ZIP code
_____ _____ _____ _____ _____ _____	_____	_____ _____ _____
Date of birth	Gender	Original date employed
_____ _____ _____ _____ _____	<input type="checkbox"/> M <input type="checkbox"/> F	_____ _____ _____ _____ _____
<small>month day year</small>		<small>month day year</small>

### Contribution Information

I wish to contribute the following from my salary per pay period:

- Before-Tax Contribution Election.**
- \$ \_\_\_\_\_, \_\_\_\_\_ .00 (please provide whole dollars only)
- OR**
- \_\_\_\_\_ % (please fill in % from 1-80%, in whole percentages)
- Roth 401(k) Contribution Election.**
- \$ \_\_\_\_\_, \_\_\_\_\_ .00 (please provide whole dollars only)
- OR**
- \_\_\_\_\_ % (please fill in % from 1-80%, in whole percentages)

My yearly salary is \$\_\_\_\_\_. My pay frequency is \_\_\_\_\_. Please note that if the contribution amount provided is not in the correct format (dollar vs. percentage), Prudential will use your salary information to calculate your contribution in accordance with what your payroll requires.

### Instructions for Investment Allocation

Please choose Part I **OR** Part II, unless you would like to enroll in the GoalMaker feature. If so, please complete the GoalMaker Election form.

**Part I:** By completing this section, contributions will be invested in the existing investments. On March 6, 2009, these investments will be mapped to the **new** investments available in the plan on March 9, 2009 **unless** you elect to opt out of the fund mapping. The last day to opt out of the fund mapping is February 27, 2009 by 4:00 p.m. Eastern Time.

**Part II:** By completing this section, contributions will be invested in the new funds available on March 9, 2009. Any contributions received **prior** to March 9, 2009 will be invested in the plan's default investment (Stable Value Fund). All contributions received on or **after** March 9, 2009 will be allocated according to your investment selection in this section.

You must contact Prudential Retirement to transfer any **existing** funds from the plan's default investment option (Stable Value Fund) used to invest your defaulted contributions.

**Investment Allocation**

**Current investment options only valid until March 6, 2009. (Investments will be mapped according to arrows shown unless you elect to opt out of the fund mapping.)**

**Part I Design your own investment allocation:**

Please designate the percentage of your contribution to be invested in each of the available investment options. (Please use whole percentages. The total must equal 100%.)

I wish to allocate my contributions to the Plan as follows:

Percent Allocated	Codes	Current Investment Options	New Investment Options Effective 3/9/2009
_____ %	NK	Prudential Stable Value Fund	——▶ NC Stable Value Fund
_____ %	WU	Fidelity Intermediate Bond Fund	——▶ NC Fixed Income Fund
_____ %	BR	Goldman Sachs Mid Cap Value	——▶ NC Small/Mid Cap Value
_____ %	TU	Van Kampen Equity and Income	——▶ NC Large Cap Index 60%/NC Fixed Income 40%
_____ %	DV	Van Kampen Growth and Income	——▶ NC Large Cap Value
_____ %	NL	Vanguard Equity Index Portfolio	——▶ NC Large Cap Index
_____ %	P2	Growth Fund of America	——▶ NC Large Cap Growth
_____ %	P0	Oppenheimer Main Street Small Cap	——▶ NC Small/Mid Cap Index
_____ %	P5	EuroPacific Growth Fund	——▶ NC International
<b>1 0 0</b> %		<b>Total</b>	

**OR**

**New investment options available March 9, 2009.**

**Part II Design your own investment allocation:** ~~The new investment options below will be available on March 9, 2009.~~ **The funds in this section available March 9, 2009. Any contributions received prior to March 9, 2009 will be invested in the plan's default investment option (Stable Value Fund).**

Please designate the percentage of your contribution to be invested in each of the available investment options. (Please use whole percentages. The total must equal 100%.)

I wish to allocate my contributions to the Plan as follows:

Please Note: Any contributions received prior to March 9, 2009 will be invested in the plan's default investment option (Stable Value Fund).	Percent Allocated	New Investment Options Effective 3/9/2009
	_____ %	NC Stable Value Fund
	_____ %	NC Fixed Income Fund
	_____ %	NC Small Mid Cap Value
	_____ %	NC Small Mid Cap Index
	_____ %	NC Large Cap Value
	_____ %	NC Large Cap Index
	_____ %	NC Large Cap Growth
	_____ %	NC Small Mid Cap Growth
	_____ %	NC International Index
	_____ %	NC International
	_____ %	NC Global Equity
	<b>1 0 0</b> %	<b>Total</b>

This form must be completed accurately and received by Prudential Retirement **before** Prudential Retirement receives contributions on your behalf. If a completed form is not received, Prudential will invest contributions in the Plan's default investment option (Stable Value Fund). Upon receipt of your completed enrollment form, **all future** contributions will be allocated according to your investment selection. You must contact Prudential Retirement to transfer any **existing** funds from the Plan's default investment option (Stable Value Fund) used to invest your defaulted contributions.

**Important information and signature is required on the following page. The signature page must be provided in order for your enrollment to be processed.**

Social Security Number \_\_\_\_\_

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**Your  
Authorization**

I direct my employer to make payroll deductions as I have indicated. I understand that upon enrollment, if my Plan allows, I will have telephone and/or internet privileges to perform transactions via Prudential's Interactive Voice Response service and Online Retirement Center.

***This section  
must be  
completed in  
order to  
process your  
enrollment.***

I agree that Prudential Retirement, the Plan's trustees or the state of North Carolina will not be liable for any loss, liability, cost or expense for implementing my instructions via the Internet or by telephone. I understand that Prudential Retirement will execute on my instructions only when proper identification is simultaneously provided. This identification may consist of information that Prudential Retirement may reasonably deem necessary to establish my identity. I hereby give Prudential Retirement the right to tape record the telephone conversation of any telephone instructions received by Prudential Retirement.

  X   \_\_\_\_\_ Date \_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_

*Participant's signature*

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## Prudential Retirement

Social Security Number \_\_\_\_\_